

# 昌利證券有限公司

## Cheong Lee Securities Limited

公司客戶 Corporate Client

Account Open Date: \_\_\_\_\_

開立戶口文件核對表 Account Opening Documents Checklist

現金 Cash

孖展 Margin

Account Name: \_\_\_\_\_

Account No: \_\_\_\_\_

開戶文件

Account Opening Documents

1.  董事會決議：  
Board Resolutions:  
(1) 批准於昌利證券有限公司開立公司戶口；及  
(2) 授權簽署人操作所開立之公司戶口  
(1) to approve the opening of a Securities and Futures account with Cheong Lee Securities Ltd; and  
(2) to authorize the authorized signatory (ies) to operate the aforesaid account
2.  公司註冊證副本  
Copy of Certificate of Incorporation
3.  商業登記證副本(如適用)  
Copy of Business Registration Certificate (if applicable)
4.  董事及授權人之身份證或護照副本  
Copy of Identity Card(s) / Passport(s) of directors and person(s) authorized to operate the account
5.  公司董事/股東註冊名單  
Register of Director(s)/Shareholder(s)
6.  公司郵寄地址證明 (最近三個月內)  
Mailing address proof (within the past 3 months)
7.  公司章程  
Copy of Memorandum and Articles of Association of the Company
8.  董事在職證明書  
Certificate of Incumbency
9.  週年申報表或董事更改通知書 (如適用)  
Copy of Annual Return / Notification of change of Director (Form D2A) (if applicable)
10.  衍生工具投資的認識: \_\_\_\_\_  
Derivatives investment knowledge: \_\_\_\_\_

\*所有副本須由公司董事/註冊律師/會計師核證真本。

\*All copies of documents must be certified by Company Directors/Lawyers/Certified Public Accountants.

### For Internal Use Only

Submitted by :

Checked by :

Approved by :

Input by :

\_\_\_\_\_  
A.E.

\_\_\_\_\_  
Settlement Dept

\_\_\_\_\_  
Responsible Officer

\_\_\_\_\_  
Settlement Dept

Date

Date

Date

Date